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Thomas J. Evans

Atty. Docket No. 005313.00003

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Marc VAN HEYNINGEN et al.

Examiner: Gilberto Barron, Jr.

U.S. Pat. App. No.: 09/783,146

Group Art Unit: 2132

Filed: February 13, 2001

For: METHOD AND APPARATUS FOR PROVIDING SECURE STREAMING DATA

TRANSMISSION FACILITIES USING UNRELIABLE PROTOCOLS

STATEMENT UNDER 37 C.F.R. §1.704(d)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. 1.704(d), Applicants hereby state that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

BANNER & WITCOFF, LTD.

By: Momas of hon

Thomas L. Evans, Reg. No. 35,805 1001 G Street, N.W., 11th Floor Washington, D.C. 20001-4597

Telephone: (202) 508-9100 Facsimile: (202) 508-9299

January 13, 2003

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to their duty of disclosure under 37 C.F.R. §1.56, Applicants bring the following documents to the attention of the Examiner in the above-identified patent application:

- (1) U.S. Patent No. 5,325,433 to Torii et al., issued June 28, 1994;
- (2) U.S. Patent No. 5,657,390 to Elgamal et al., issued August 12, 1997;
- (3) U.S. Patent No. 5,754,651 to Blatter et al., issued May 19, 1998;
- (4) U.S. Patent No. 6,070,245 to Murphy, Jr. et al., issued May 30, 2000; and
- (5) U.S. Patent No. 6,141,423 to Fischer, issued October 31, 2000.

EL749076468US

U.S. Pat. App. No.: 09/783,146

Atty. Docket No.: 005313.00003

A PTO-1449 form is included herewith listing these documents, and a copy of each of

these documents is attached. These documents were cited in an International Search Report for a

related PCT application, a copy of which is also attached.

The International Search Report is in English. Therefore, Applicants respectfully urge that

further comment is unnecessary in accordance with 37 C.F.R. 1.98(a)(3). However, Applicants

respectfully point out that the International Search Report classifies these documents in

categories A, X and Y.

A first Official Action has not yet issued for this application. Therefore, it is respectfully

urged that no fees are required for the Examiner's consideration of the documents listed in this

Information Disclosure Statement.

Accordingly, it is respectfully requested that the documents listed above be considered

by the Examiner in the above-identified patent application and that they be made officially of

record therein. It is further requested that a listing of the same appear on the face of any patent

that may issue from this application.

Respectfully submitted,

BANNER & WITCOFF, LTD.

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January 13, 2003

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Sheet _1_ of _1 PTO-1449 (Modified) ATTY. DOCKET NO. SERIAL NUMBER 005313.00003 09/783,146 RECEIVED U.S. DEPARTMENT OF COMMERCE APPLICANT PATENT AND TRADEMARK OFFICE JAN 1 6 2003 Marc Van Heyningen et al. INFORMATION DISCLOSURE STATEMENT **Technology Center 2100** BY APPLICANT FILING DATE **GROUP ART UNIT** February 13, 2001 2132

U.S. PATENT DOCUMENTS

			S. I ATENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME .	_CLASS	SUB CLASS	FILING DATE
	5,325,433	06/28/1994	Torii et al.			
	5,657,390	08/12/1997	Elgamal et al.			
	5,754,651	05/19/1998	Blatter et al.			
	6,070,245	05/30/2000	Murphy, Jr. et al.			
	6,141,423	10/31/2000	Fischer			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
				,		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED

EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.